



ADDENDUM NUMBER 1: QUESTIONS AND ANSWERS

FOR

**REQUEST FOR PROPOSALS FOR DEAL CAPTURE
AND SETTLEMENTS SOFTWARE SERVICES**

Last update: March 2, 2022

Question #1): Whether companies from Outside USA can apply for this?
(like, from India or Canada)

Companies outside the US may provide proposals, but will need to be legally capable of conducting business within the US in order to be awarded an agreement.

Question #2): Whether we need to come over there for meetings?

In person meetings are not required, but may be considered, depending on local government health orders in place at the time of the meeting. Product demonstrations (if requested) may be conducted remotely online.

Question #3): Can we perform the tasks (related to RFP) outside USA? (like, from India or Canada)?

Yes. Please see response to Question #1.

Question #4): Can we submit the proposals via email??

Yes. Proposal materials should be submitted to solicitations@svcleanenergy.org. Product Demonstrations (if requested) may be performed in person (local conditions permitting) or remotely online.

Question #5): How should we respond to the functional requirements? Should we put it in a spreadsheet, separate Word document, etc?

We are open to both spreadsheet and narrative document formats, and encourage proposers to provide responses in the format that best highlights their capabilities.

Question #6): What are the estimated daily/monthly transaction volumes you anticipate the software to manage?

Around 50 deals per month. Half would be scheduled in the CAISO.

Question #7): What are the expected min (full access) and max user counts you expect for the system?

10-15 users.

Question #8): Does SVCE require the solution to support power scheduling or is SVCE using a separate scheduling system?

The system should support scheduling and sending to our Scheduling Coordinator for submission to the CAISO.

Question #9) Can you please provide a breakdown of the Number of Users & Role Types per each system at the expected time of the Project Start Date.
For Example:

System 1- Trade Capture

- Total Number of System End Users: #? **10-15**
- Front Office Roles (Contracts, Traders): #? **3: Contract Mgmt, Traders, Portfolio Mgmt.**
- Middle Office Roles (Credit, Risk, Hedging, Market Operations): #? **2: Credit and Risk**
- BackOffice: Bi-Lateral Settlements, Invoicing, Sub Ledger Accounting? **2: Bilateral and CAISO settlements**
- Report-Only Access: # of End Users that only require access to Reports (no input access) ? **10**
- Technical Admin Role (IT): # of End Users requiring Administration Privileges? **2**

System 2- Settlements

- Total Number of CAISO Settlement File Access End Users: #? **5**
- Total Number of Shadow Settlement File Access End User: *if any*, #? **5**

Question #10) Can Silicon Valley provide any general volumetric numbers that should be expected at the time of the Project Start date?

For example:

- # of Physical Power Counter Parties **20-25**
- # of Bi-Lateral Buy/Sell Contracts
- # of PPA Contracts **approximately 15**
- # of Bids/Awards per month with CAISO
- # of Future, Swap, Options Positions **0, but would like capability**

Question #11) What is the general expected growth in the total number of end users per year, for 2022 thru 2006 (Estimated 5 Year Growth of Total # System User)?

10% per year

Question #12) What is the targeted integration complete date for this project?

Targeted project completion date is dependent upon the vendor's proposed implementation timeline

Question #13) Which market (MRTU, EESC, PRSC) does SVCE participate in CASIO market?

SVCE participates in the CAISO day-ahead and real-time markets that are largely based on CAISO MRTU that went into effect in April 2009

Question #14) What assets (such as generating Resource/PPA Buyer, Load, CRR, IST, Intertie, etc.) does SVCE have in CAISO Market?

SVCE has Load, CRRs, Inter-SC trades, Imports, Generation Resources

Question #15) If there is generating Resources participating CAISO market, which type (NPR, generating Unit, etc.)?

Solar + Storage, Solar-only, Wind, Geothermal

Question #16) Please list the major CAISO Charge Codes SVCE has.

SVCE is a load serving entity and that also schedules resources and is subject to all charges outlined in the CAISO Tariff and associated Business Practice Manuals

Question #17) For the PPAs in CAISO market, between Buyer (SVCE) and the Seller, who is CAISO Scheduling Coordinator?

SVCE has its own CAISO Scheduling Coordination ID and has contracted services with an SC-Agent who operates the SCID on a 24 x 7 basis.

Question #18) Please elaborate more to "maintain daily / monthly settlement margin requirements with brokers/exchanges" on Page 12.

System should be able to track credit requirements with given counterparties exchanges based on as applicable contract provisions, margining rules, and authorized limits

Question #19) One requirement talks about the ability to capture "deal contingencies". Can you please give one or two examples of these contingencies?

Deal contingencies would need to be contemplated if a party to the transaction is not able to meet contractual obligations. This could be something like Power Purchase Agreements that contemplate expected energy and guaranteed energy production. Another example would be counterparties that may require certain posting and/or reporting requirements as part of an enabling agreement or transaction. In the event that the credit of one of the parties is downgraded it may trigger certain downstream steps.